

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
<p>The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Service Directorate (0704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</p> <p>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ORGANIZATION.</p>					
1. REPORT DATE (DD-MM-YYYY) 31/08/2013		2. REPORT TYPE Final		3. DATES COVERED (From - To) June 1, 2010 - May 31, 2013	
4. TITLE AND SUBTITLE Electrogelation of Biopolymers for New Functional Materials			5a. CONTRACT NUMBER		
			5b. GRANT NUMBER FA9550-10-1-0172		
			5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S) Kaplan, David L.			5d. PROJECT NUMBER		
			5e. TASK NUMBER		
			5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Tufts University 4 Colby Street Medford, MA 02155			8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES) OFC OF Naval Rsch (ONRRO) Boston Boston Regional Office 495 Summer Street, Rm 627 Boston, MA 02210-2109			10. SPONSOR/MONITOR'S ACRONYM(S)		
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT A = Approved for public release; distribution is unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT In this award we build on our platform of fundamental insight and technological impact related to biopolymer-based materials, to generate a new family of functional biopolymer material systems. The focus was on new observations surrounding the process of electrogelation (e-gel formation), as a novel mechanism to control polymer assembly – surface adhesion, hydrogelation and related features. We elucidated the mechanistic basis for this process and pursued further control of the material assemblies and functional features by studying the impact of a range of environmental factors. We will also extended					
15. SUBJECT TERMS silk, materials, electrogelation, e-gel, biopolymers, tropoelastin					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			David L. Kaplan
U	U	U	SAR	3	19b. TELEPHONE NUMBER (Include area code) 617-627-3251

Abstract (from the original proposal) - In this proposal we build on our platform of fundamental insight and technological impact related to biopolymer-based materials, to generate a new family of functional biopolymer material systems. The focus for the renewal will be on the new observations surrounding the process of electrogelation (e-gel formation), as a novel mechanism to control polymer assembly – surface adhesion, hydrogelation and related features. Based on preliminary studies a novel way to regulate the assembly, disassembly (reversibility) and functions of silk protein polymers was identified. We plan to elucidate the mechanistic basis for this process and pursue further control of the material assemblies and functional features by studying the impact of a range of environmental factors. We will also extend the process to other biopolymer systems to determine applicability and utility. For example, we will build a library of related block copolymer elastin-silk systems to evaluate e-gel impact on assembly and material functions. Further, we will explore the application space for these new materials, with a focus on surface coatings, adhesion with concepts in areas of dynamic surfaces or biomimetic self-repair surfaces and biosensor functions. We will also incorporate other dynamic biopolymer material systems to continue to expand fundamental insight into new systems and link mechanism to potential areas of utility for DoD and broader needs.

Aim #1 – E-gel System for New Functional Materials

Aim #2 – Dynamic System to Evaluate e-gel Properties

Aim #3 – E-gel Mechanisms

Progress

Aim #1 – E-gel System for New Functional Materials

We concluded our efforts to examine new materials from e-gel systems. These later studies focused on additional gel formation under different environmental conditions (e.g., salts, concentration, temperature), different morphological control to generate not just gels but also films, and different ways to functionalize the films (such as the inclusion of beads and particles). In all cases the gels or films were studied for formation and reversibility in response to polarity and reaction conditions. These results built off of the three year effort to exploit e-gel systems for new materials and these studies have been published or are currently being completed for publication. The significance of this effort is in understanding where and how to best utilize e-gels based on the conditions for formation, reversibility and function.

The further studies with a focus on morphology of the e-gel features were submitted for publication. We completed these morphological studies that we first reported on a few months ago. Besides the gelation mechanism of ion migration influencing the spatial distribution of pH, the α -helices in silk serve as electric dipoles and align along the direction of the electric field. We tuned covalent and physical β -sheet crosslinks in silk hydrogels in order to preserve the morphology of the material after critical point drying. Scanning electron microscopy (SEM) of glutaraldehyde-crosslinked, ethanol dehydrated, silk e-gels revealed locally aligned fibrillar structures. Fourier transform infrared spectroscopic analysis of electrogelled, vortex-induced and spontaneously formed silk hydrogels showed that the secondary structure of silk e-gels was tunable between non β -sheet dominated and β -sheet dominated states. Dynamic oscillatory rheology was used to investigate the mechanical reinforcement of silk e-gels provided by controlled chemical and physical crosslinks.

We have also continued to design additional biopolymers with utility to exploit the e-gel process. We have focused on the silk and tropoelastin systems due to our ability to genetically engineer such system with designer features. We have recently shown that combinations of silk and tropoelastin will permit e-gel formation on both poles. We see some of these new protein designs as possible new adhesive systems with responsive properties, by combining the features of silks and tropoelastin in new ways. We have examined the mechanical, thermal and responsive properties of these new combinations of proteins and the studies are currently being written up for publication. Since protein polymers are tunable we have developed a platform approach for rapid synthesis, high-throughput screening and functional testing of diverse stimuli-responsive protein materials as we have mentioned in our last report. The utility of the approach was demonstrated by synthesizing and screening variants of silk-elastin-like polypeptides. We have been screening the library for a range of environmental triggers, from the more traditional inverse temperature-based approach, to pH and oxidation-reduction approaches, while also looking for electrogelation responses. The proteins are being analyzed in various forms and assess for transitions for responsiveness.

We have also focused efforts on exploiting silk matrices for stabilization of bioactive components as we reported in the program last year. In our most recent efforts, we have expanded the set of proteins that have been stabilized in the silk matrices, as well as our ability to sequester genetic materials. These features expand the potential utility of these systems above and beyond just simple drugs, into the realm of sample collection and storage for a variety of archival and related needs, as well as for biosensor related functions. These findings have significant implications in the general use of silk materials for the entrainment, stabilization and use in a range of applications. For example, in collaboration with the Air Force Materials Lab as reported last year, organophosphates, were studied. Organophosphates are some of the most acutely toxic compounds synthesized on an industrial scale, and environmental contamination by these compounds is of great concern for human health as well as aquatic ecology. Organophosphorus hydrolase (OPH) activity is vulnerable to environmental conditions that would accompany its practical utility; a limitation that can also be extended to conditions required for incorporation of OPH into useful materials. We found that entrapment of OPH in silk films leads to stabilization of OPH activity under a variety of conditions that otherwise reduce activity of the free enzyme. Resistance to long-term storage was increased when OPH was entrapped in silk fibroin, particularly when stored dry. Additionally, silk fibroin entrapped OPH demonstrated increased stability under adverse environmental conditions such as elevated temperature, UV light exposure and exposure to high detergent as well as organic solvents. The chemical resistance observed with silk fibroin-entrapped OPH allowed for its dispersal into a polyurethane-based coating that retained organophosphate hydrolysis activity after formulation, application and drying. The data demonstrate the utility of silk fibroin-OPH entrapment for the enhancement of activity as well as a basis for the construction of robust, multifunctional materials designed for protection, detection and decontamination.

Aim #2 – Dynamic System to Evaluate e-gel Properties

As reported previously, we have designed and implemented microfluidic flow chambers with embedded electrodes in order to study the dynamics of e-gel formation. These designs have enabled us to assess formation of e-gel under flow, reversibility and to embed particles to assess formation. In our most recent effort we assessed fluid shear experiments to examine interfacial behavior, gel deformation and recovery in the devices. These data are being combined with the prior experiments and being written up for publication. The significance of this effort is as a new opportunity to use e-gel formation and reversibility as a mode for material coatings that would be reversible, such as for living skins or for drag reduction.

Aim #3 – E-gel Mechanisms

We have continued to study mechanisms involved in e-gel formation and adhesion. These more recent studies focused on salt shielding, hydrophobic interactions and material surfaces factors. We have a clearer picture from the work on the project of the role of pH, but also insight into the role of other factors such as the morphology and structure of the gels, the role of various additives on gelation and the adhesion. These studies built off of our modeling efforts which we reported last year for the project and are currently being completed for publication. The electrodiffusion model for silk gelation under electric fields was published and involved local pH changes as a result of water electrolysis – generating H⁺ and OH⁻ ions at the (+) and (-) electrodes, respectively, as we reported this past year. Silk fibroin has a pI=4.2 and when local pH<pI, e-gel forms. A finite-element ion electrodiffusion model was developed and tested and relied on electrodiffusion of the generated H⁺ and OH⁻ ions. Initially, inputs into the model were the measured e-gel and voltage curves. The governing ion electrodiffusion equations were solved and the calculated pH matched the experimental pH profile, indicating that ion electrodiffusion dictates local pH changes and E-gel growth. Furthermore, the model predicted the constant currents (2 mA and 3 mA) necessary for two hypothetical e-gel growth curves and these results were then validated experimentally. The model thus shows how ion electrodiffusion governs the electrogelation process and also provides predictable outcomes for fundamental and practical E-gel applications.

Interactions/Transitions

Rajesh Naik - Air Force Materials Lab – OPH stabilization in silk, structural characterization of silk materials

Vladimir Tsukruk – Georgia Tech – new materials from silk materials including ionomers and gels

Funding Profile:

FY11	FY12	FY13
\$155,740	\$159,842	\$282,106

Publications:

- Dennis PB, Walker AY, Dickerson MB, Kaplan DL, Naik RR. Stabilization of organophosphorus hydrolase by entrapment in silk fibroin: formation of a robust enzymatic material suitable for surface coatings. *Biomacromolecules*, 13(7): 2037-2045, (2012).
- Kojic N, Panzer MJ, Leisk GG, Raja WK, Kojic M, Kaplan DL. Ion electrodiffusion governs silk electrogelation. *Soft Matter* 8(26): 2897-2905 (2012)
- Lu Q, Huang Y, Li M, Zuo B, Lu S, Wang J, Zhu H, Kaplan DL. Silk fibroin electrogelation mechanisms. *Acta Biomater*, 7(6): 2394-2400, (2011).
- Lu Q, Zhu H, Zhang C, Zhang F, Zhang B, Kaplan DL. Silk self-assembly mechanisms and control from thermodynamics to kinetics. *Biomacromolecules*, 13(3): 826-832, (2012).
- Omenetto FG, Kaplan DL. Spider webs: Damage control. *Nat Mater*, 11(4): 273-274, (2012).
- Ye C, Shchepelina O, Calabrese R, Drachuk I, Kaplan DL, Tsukruk VV. Robust and responsive silk ionomer microcapsules. *Biomacromolecules*, 12(12): 4319-4325, (2011).
- Tao H, Brenckle MA, Yang M, Zhang J, Liu M, Siebert SM, Averitt RD, Mannoors MS, McAlpine MC, Rogers JA, Kaplan DL, Omenetto FG. Silk-based conformal, adhesive, edible food sensors. *Adv Mater*, 24(8): 1067-1072, (2012).
- Tao H, Kaplan DL, Omenetto FG. Silk materials - a road to sustainable high technology. *Adv Mater*, 24(21): 2824-2837, (2012).
- Ye C, Drachuk I, Calabrese R, Dai H, Kaplan DL, Tsukruk VV. Permeability and micromechanical properties of silk ionomer microcapsules. *Langmuir*, 28(33):12235-12244 (2012).